

Responses Overview Active

Responses

6

1. **Audit and Risk Committee Charter:** The Charter clearly articulates the Committee’s roles and responsibilities and provides it with the necessary authority to discharge them



2. **Audit and Risk Committee Charter:** The Charter facilitates and supports the effective operation of the Committee



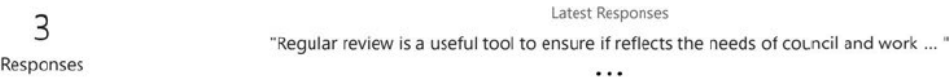
3. **Audit and Risk Committee Charter:** During the past year, the Committee has adequately addressed all of its responsibilities as detailed in the Charter



4. **Audit and Risk Committee Charter:** The Charter ensures the Committee is sufficiently independent from the management of Council



5. Any comments, suggestions, improvements on the **Audit and Risk Committee Charter**?



6. **Skills and Experience:** The Committee has the desired mix of skills to allow it to effectively discharge its responsibilities



7. **Skills and Experience:** The Committee has been able to analyse and critically evaluate information presented to it by management



8. **Skills and Experience:** There is a clear process that Committee members can follow to access advice and /or training to improve their skills and knowledge



9. **Skills and Experience:** The Committee has responded appropriately where significant risks and/or control breakdowns have been brought to its attention



10. **Skills and Experience:** The Committee is willing to listen to new ideas and consider different views in its deliberations



11. **Skills and Experience:** The Committee has been sufficiently probing and challenging in its deliberations



12. Any comments, suggestions, improvements on **Skills and Experience?**

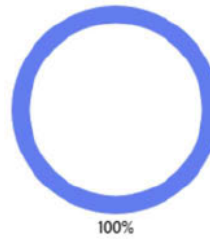
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"Answer to question 8 - reflects that I'm not aware of what resources are available ..."
"Further probing of RSD project reporting and language could be useful"
...

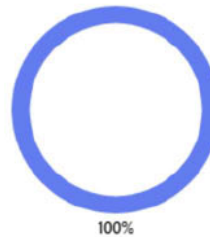
13. **Understanding the business:** The Committee has an adequate understanding of Council's risk management framework and risk profile

● Satisfied	6
● Room for improvement	0
● Not satisfied	0



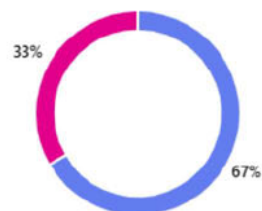
14. **Understanding the business:** The Committee has an adequate understanding of Council's internal control framework to mitigate significant risks

● Satisfied	6
● Room for improvement	0
● Not satisfied	0



15. **Understanding the business:** The Committee has an adequate understanding of Council's financial and statutory reporting requirements

● Satisfied	4
● Room for improvement	2
● Not satisfied	0



16. **Understanding the business:** The Committee has an adequate understanding of Council's legislative compliance requirements



17. **Understanding the business:** The Committee receives appropriate briefings on current and emerging business risks



18. **Understanding the business:** The Committee receives appropriate briefings on changes in financial reporting requirements



19. **Understanding the business:** The Committee receives appropriate briefings on changes in performance reporting requirements



20. **Understanding the business:** The Committee receives appropriate briefings on Integrity Body reports



21. **Understanding the business:** The Committee receives appropriate briefings on changes in the business/regulatory environment



22. Any comments, suggestions, improvements on **Understanding the Business?**

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"Emerging business risks could take a greater focus in reports/papers in the place ..."

23. **Meeting administration and conduct:** The Committee has had an appropriate number of meetings to properly discharge its responsibilities



24. **Meeting administration and conduct:** Agendas are structured to allow sufficient time to discuss all critical issues



25. **Meeting administration and conduct:** The Committee receives agendas and supporting papers in sufficient time prior to meetings



26. **Meeting administration and conduct:** Agendas and supporting papers are of sufficient clarity and quality to enable the Committee to make informed decisions



27. **Meeting administration and conduct:** Committee meetings are well run and productive



28. **Meeting administration and conduct:** Committee minutes are appropriately maintained and provided to Council on a timely basis



29. **Meeting administration and conduct:** All Committee members can or are able to participate/contribute effectively to Committee deliberations



30. **Meeting administration and conduct:** The Committee effectively manages Conflict of Interest matters



31. Any comments, suggestions, improvements on **Meeting administration and conduct**?

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"Papers are often long and as per the comment above, could benefit from prioritis..."
...

32. **Communications with Council:** Committee communications to Council about its deliberations and decisions are appropriate



33. **Communications with Council:** Committee reports on its own activities to Council are appropriate in scope



34. **Communications with Council:** Committee reports on its own activities to Council are of sufficient regularity



35. Any comments, suggestions, improvements on **Communications with Council**?

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36. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Council's risk profile and mitigating actions for key risks



37. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Maintenance of a strong internal control environment that is effective in mitigating key risks



38. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Management of Council's compliance and regulatory obligations



39. **Management Commitment & Support:** Information and briefing papers presented by management meet the Committee's expectations in respect of: Council's external reporting requirements



40. **Management Commitment & Support:** The Committee has a positive attitude to continuous improvement in its dealings with management



41. Any comments, suggestions, improvements on **Management Commitment & Support?**



42. **Internal Audit:** The Committee reviewed and recommended improvements to the internal audit plan as required



43. **Internal Audit:** The Committee considered the adequacy of internal audit resources



44. **Internal Audit:** The Committee reviewed and recommended improvements for any significant changes to the internal audit plan



45. **Internal Audit:** The Committee considered the performance of the internal audit function



46. **Internal Audit:** The Committee reviewed all internal audit reports and monitored management responses to recommendations



47. Any comments, suggestions, improvements on **Internal Audit**?



48. **External Audit:** The Committee reviewed and recommended improvements to the external audit plan as required.



49. **External Audit:** The Committee reviewed external audit reports and management letters and monitored management responses to findings and recommendations made by external audit



50. **External Audit:** The Committee provided feedback on the performance of external audit



51. Any comments, suggestions, improvements on **External Audit**?

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52. End of survey: Any other comments, suggestions, improvements?

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